Utilizing the Network Effect on Open Innovation: An Exploration of Japanese Firms Collaborations with Foreign Partners

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Abstract

In recent years, open innovation has been regarded as an important way of creating innovation for firms. Open innovation is a way for Japanese firms to maintain a competitive advantage. Drawing on the notion of Network Effect, in order to produce more innovative products, it is said that cooperation with foreign partners with different ideas and technologies is necessary rather than collaboration among Japanese firms. However, Japanese firms have not successfully collaborated with foreign partners, and no research has been focused on both side of focal and partners. In order to clarify the problem, we conducted an exploratory survey for both Japanese firms and foreign firms. As a result of collecting respondents of 122 Japanese firms and 126 foreign firms for hypothesis verification, we conclude that a number of important factors exist for Japanese firms to implement open innovation with foreign firms as partners. The results will provide valuable suggestions to Japanese firms trying to implement open innovation with foreign firms.

Keywords: Innovation, Open Innovation, Network Effect 7,924words

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1. INTRODUCTION

How can a firm maintain its competitive advantage? Obviously, it is necessary to create innovation. The objective our research is to find out how Japanese firms can activate their innovation activity through open innovation with foreign partners.

Innovation is classified as either radical or incremental innovation (Christensen, 1997). Ito (2010) define as radical innovation that has a significant impact on a market and on the economic activity of firms in that market, and incremental innovation concerns an existing product, service, process, organization or method whose performance has been significantly enhanced or upgraded. Schumpeter's view radical innovation creates major disruptive changes, whereas incremental innovation continuously advances the process of change (Schumpeter, 1942). According to Iwao et al. (2017), radical and incremental innovation are required alternately to improve the firm's performance. However, incremental innovation account for the majority of the outcome of innovation in Japan. "Business R&D and Innovation Survey 2009" by United States Census Bureau (USCB) and the National Science and Technology Foundation (NSTF) shows that comparing the "quality of innovation" between Japanese and U.S. firms. U.S. firms created both innovations equality, whereas Japanese firms created innovations are imbalanced. The Japanese firms created radical innovation only 11% (Figure 1). Therefore, it is the

problem of the Japanese firms that radical innovation is relatively less.



Figure 1: Comparing the "quality of innovation" between Japan and U.S.

Source: Based on "Business R&D and Innovation Survey 2009" by USCB and NSTF

Why Japanese firms have not been able to create radical innovation? In recent years, the progress of globalization and information technology (IT) in the economy is bringing about the intensification of international competition and the increasingly short lifecycle of products. Under these circumstances, Ministry of Economy (2016) said that the form innovation takes has been shifting from that of "closed innovation" based on vertical integration in which the same firm completes the entire process from R&D to commercialization to that of "open innovation" in which the process of R&D or commercialization is carried out by using external technology and other resources. Japanese firm's approach to open innovation have activated compared to before, however, it has not been going well (Ministry of Economy, 2017). According to the World Economic Forum's (WEF) "The Global Competitiveness Report", the firm's competitiveness of each country is represented based on the Global Competitiveness Index, and one of which is "capacity for innovation". Japan moved down in this ranking from first place in 2012 to twenty-one place in 2017. Because, Japan is lacking ability for pursuing open innovation. Moreover, that indicating Japan itself become to loose the international competitiveness (Cabinet Office, 2017).

Partners are important because of open innovation is made through cooperation with others. In other words, open innovation can be said to be based on utilizing the network effect. A firm needs to collaborate with partners who has different ideas or technologies. How a firm can bridge to a different network is the key for success. According to Yoshimura (2006) and Ushimaru (2015), the quality of innovation in a firm depends on its partner. In other words, who you work with is the critical question for pursuing radical innovation through open innovation.

The objective of this study is to propose ways for Japanese firms to create radical innovation through open innovation with foreign partners. Collaboration with foreign partners is a success factor to create radical innovation. We review previous studies about open innovation through network optimization and how open innovation can be managed with foreign partners in Chapter 2 and 3. Then, we confirmed it by Japanese and foreign firms' interview. Figure 2 is our research's flow. Figure 2: Our research flow

1.INTRODUCTION



Source: Authors

2. THEORETICAL BACKGROUND

In this section, first, we explain about network effect, and why it is important for open innovation. Also, we analyzed articles to grasp the current arguments on open innovation management of Japanese firms and we explore a research question. Second, we review previous studies about how open innovation can be managed with foreign partners.

2-1. Network Effects on Open Innovation

Why foreign partners are so important to the open innovation of Japanese firms? Firms build a relationship with other firms on open innovation. This relationship between firms and firms is said to be network. According to Adler and Kwon (2002), effective network is an important operating resource for firms and businesses. Polanyi *et al.* (1957) said that economic transactions are based on social networks and are embedded into the existence of society. Granovetter (1985) proposed the "relational embeddedness theory" about network. "Relational embeddedness" shows the degree of ties between the network constituting members. People who connect weak ties have the advantage of easy access to different knowledge, and people who connect strong ties have the advantage of easy access to reliable knowledge (Coleman, 1988). This theory adapts to various social actors. Uzzi (1996,1997) only focused on the relationship between firms. Subsequently, Dicken

et al. (2001) and Yeung (2005) built them into the inter-firm network. Usui (2013) explained that the importance of a network structures based on Burt (1992) effects on new market-based knowledge developments and acquisitions in global markets. According to Usui (2013:96), "Burt (1992) indicated that the spread of information about new ideas and opportunities must come through the weak or strong ties that connect actors in separate cliques. No matter how numerous its members are and how valuable social capital they have generated, one clique is only one source of knowledge, because actors connected to one another tend to know about the same things at almost the same time." According to Wakabayashi (2015), such weak ties like bridging different cliques together is likely to create radical innovation. On the other hand, "bonding network" is to collaborate companies with close relations. Such strong ties is likely to create incremental innovation. Fleming (2004) indicated that what the outcomes of innovation could be created through the ties between members (figure 3).

Figure 3: Outcome of innovation



Source: Based on Fleming (2004)

Each dot represents outcome of innovation. The vertical axis represents the value of innovation, and the horizontal axis represents the alignment of team member's discipline. The value of innovation is "breakthrough", "average", "insignificant" in the order of the highest innovative, which is similar significance to the quality of innovation in this study. Therefore, we show high value indicates "radical innovation", the average is "incremental innovation", and low value indicates "failure". Fleming (2004) stated that when a creative team is made up of people from very similar disciplines, the average value of its innovations will be high, but it will be unlikely to achieve a breakthrough. On the other hand, a group of people from very diverse disciplines is more likely to achieve breakthroughs but will also produce many more low value innovations (2004: 22). The alignment of team member's discipline refers to the degree of strength of ties between firms. Members with strong ties are "homophily", and members with weak ties are "heterophily" (Yoshimura, 2006). As stated above, collaborate with heterophilic members is effective for creating radical innovation. However, Japanese firms have too high collaborate rate with homophilic members such as Japanese firms in the same industries. Therefore, even if there is a risk, Japanese firms need to increase collaboration with heterophilic members such as foreign partners.

2-2. What is Reality?

In this section, we analyzed articles on the internet. The purpose of the secondary data analysis is to grasp the current arguments on open innovation management of Japanese firms. We analyzed 689 articles and revealed five trends of Japanese firms. There were 70 cases of open innovation implementation. Among them, there were only 15 cases of collaborations with foreign partners. Survey methods and analysis results are described in detail in Figure 4. Figure 4: Secondary data base analysis flow



Source: Authors

We clarified that Japanese firms have not been able to collaborate with foreign partners. Therefore, we are going to explore this problem by literatures review and firms interview. The following is research question.

RQ: How Japanese firm manage open innovation successfully with foreign partners?

2-3. Open Innovation Management

Next, what kind of problems are there when implementing open innovation with foreign partners? We found two factors. First, Jasimuddin and Naqshbandi (2017) indicated that the mediating role knowledge-management capability in the linkage between leadership and open innovation, using data collected from 172 subsidiaries of multinational enterprises based in France. He explained that higher levels of leadership can lead to enhanced knowledge-management capability and improved open innovation outcomes. That is, leadership has a direct, positive impact on knowledge-management capability and open innovation (Jasimuddin and Naqshbandi 2017: 1). Takagaki (2012) studied the recognition patterns of leaders who choose strategies in a changing business environment. According to this case study, firms that are representatives of global firms (Canon, Sony, Uniqlo, Samsung, Toshiba etc.) have a synergistic relationship between organization knowledge management and innovation in the process of becoming a global scale firm. The role of the leader is important for this process. Leaders' ingenuity and knowledge management bring cost reduction and competitive advantage, and firms grow on a global scale. Dowson and Kitagawa (2016) links the relationship between knowledge and innovation to network formation in the case study of the digital media industry in London. His theory is needing diversity and controlling of knowledge for network formation in global scale to creating innovation.

Next, Robertson *et al.* (2011) said that absorption ability that farms absorb and utilize the management resources of other farms will influence the creation of incremental-type. To the contrary, according to Zhou *et al.* (2017), adaptive ability is important to create radical-type as an example of innovation management in China's industrial technology. According to Nishino (2010), adaptive ability is to adapt flexibly in vigorous environment. In particular, emerging-market companies are developing new proprietary systems by taking advantage of their adaptive capacity contrary to innovations of industrialized countries in open innovation (Amuro,2015). Shimizu (2001) said that management style adaptability is important for promotion of innovation. Definition of management style adaptability is the ability of superiors to adapt management modalities to the specific requirements of given situation in communication with internal and external organizations. It starts from understanding forms of communication with internal and external organizations, personal profiles, and strategic systems etc. and that it will lead to adaptation among companies. Based on the problem that has been dealt with over the years such as local adaptation for global cooperation, Yves *et al.* (2001) conduct multivariate data analysis and discuss the adaptability and globalization of enterprises. In addition, Nishino (2010) and Kodama (2012) stated that the ability to overcome the crisis due to industry change and environmental change had influence on corporate growth for Hong Kong farms and Chinese venture farms. The ability to overcome is to adapt to markets and firms that change with various external factors. They said that it had a positive influence on innovation ability.

Therefore, we found that (1) knowledge management ability, (2) adaptive capacity are key factors that promote implementing open innovation with foreign partners.

3. HYPPOTHESES DEVELOPMENT

In this section, we conducted explorative field work and developed our hypotheses based on previous studies and the result of interviews.

3-1. Interview with Japanese and Foreign Firms

The objective of interview is to confirm the problems clarified in previous studies and

to find new factors if it exists. We interviewed Japanese and foreign firms. Because, the previous research only focused on the problems of focal firm but did not focus on both side of focal and partners. From cases study, only 15 firms have implemented open innovation with foreign partners. Therefore, we conducted explorative field work. We participated in the event of open innovation, where we short interviewed for 15 to 30 minutes twenty-two Japanese firms and thirteen foreign firms that are interested in open innovation. Among the firms that we conducted a short interview, we interviewed for 60 to 90 minutes seven Japanese firms that have implemented open innovation to hear detail stories. In addition, we interviewed for 60 to 90 minutes with three intermediary firms in order to grasp the current argument of relationship between firms and firms when open innovation implement. Figure 5 is our interview's flow. We described more details about interview in appendix 1 and 2. Open innovation needs to find a partner from among many unspecified candidate firms (Yoneyama et al. 2016). Therefore, we do not narrow down the industry and the firm size.

Figure 5: Interviews flow

Japanese firm	s	Foreign firms				
Interview : 22		Interview : 13				
[Firms size]		[Firms size]				
• Major firms	27%	• Major firms	23%			
· Small & medium		· Start-up company	77%			
sized firms	18%					
 Start-up company 	55%	[Industry types]				
		 Information communication 	61%			
[Industry types]		 Manufacturing 	23%			
 Information communication 	50%	Service	16%			
 Manufacturing 	32%					
Service	14%					
· Wholesale	4%					
	Japanese firm Interview : 22 [Firms size] · Major firms · Small & medium sized firms · Start-up company [Industry types] · Information communication · Manufacturing · Service · Wholesale	Japanese firms Interview : 22 [Firms size] · Major firms 27% · Small & medium sized firms 18% · Start-up company 55% [Industry types] · Information communication 50% · Manufacturing 32% · Service 14% · Wholesale 4%	Japanese firmsForeign firmsInterview : 22Interview : 13[Firms size][Firms size]· Major firms27%· Small & mediumStart-up companysized firms18%· Start-up company55%[Industry types]· Information communication· Information communication50%· Manufacturing32%· Service14%· Wholesale4%			

a .		· . ·	/	aa ·)
Short	time	intervi	ew (15 [,]	~30min)



•Among the firms that we conducted the short interviews, we interviewed Japanese firms that have implemented open innovation to hear detail stories.

➡ Long time interview (60~90min)

	Japanese firms							
Answer	Interview: 7							
content								
	(a)Aggressive collaboration and risk of information leaks.							
	(b)Worry about the decision to be able to keep up early.							
	(c) Differences in business practices and legal regulations.							
	(d) Insufficient approval of the management layer inside the company.							
	(e)Prevalence of NIH syndrome.							
	(f) The importance of the role of mediation.							
	(g) Insufficient language and communication skills.							
	(h)We have not been able to break away from the subjectivity of old companies.							
	(i) Inadequate understanding of international markets.							
	(j) The network with overseas companies has not been built enough.							
	(k) No human resources can lead inside the company.							

Interview with Intermediary(60~90min)

•Purpose: to grasp the current argument of relationship between firms and firms when open innovation implement.

	Intermediary firms
Answer	Interview: 3
content	
	 (a)The role of intermediary companies is important for smooth cooperation between the two parties. (b)the agency itself is immature and not adequately supported.
	(c)There are a lot of mediation between domestic companies.

Source: Authors

From the previous studies and interviews results, there were five problems when

implementing open innovation with foreign partners (figure 6).

Figure 6: Some important factors for managing open innovation with foreign partners

Internal factors	 Insufficient organization. Risk avoidance tendencies. The difficulty of creating new ideas.
Internal and External factor	\bigcirc Not adapted to external resources.
External factor	\bigcirc The importance of existence of intermediary firms.

Source: Authors

3-2. Hypotheses

Our purpose of this study is to propose ways for Japanese firms to create radical innovation through open innovation with foreign partners. Therefore, we will construct hypotheses both side of Japanese firms and foreign partners. From issue (figure 6) are clarified by previous studies and interviews. Hypotheses that affects "the outcome of open innovation with foreign partners" for Japanese firms, and "attitudes toward open innovation with Japanese firms" for potential foreign partners.



Figure 7: Conceptual framework for H1 to 5

Source: Authors

Knowledge management capability is effective for drastic improvement of organization (Jasimuddin and Naqshbandi 2017). According to Teigland et al. (2000), it is necessary to form a common corporate culture for borderless R&D. For that, it is important that leaders of each organization share information. In the interview, a manager from firm A said, "the spread of the old-fashioned values of the upper management is impeding attitude toward open innovation with foreign firms," a manager from start-up company B said, "start-up companies are short of funds and talent, so there is no leader with knowledge and experience about open innovation. The insufficiency of organizational structure is a problem," a manager from firm C said, "it takes time to improve the organizational structure. It has too many disadvantages to improve it," and a manager from Taiwanese firm D said, "I would like to collaborate with Japanese firms that can make early decision." Here, we constructed H1a and H1b.

H1a (for Japanese firms): Knowledge management capability has a positive impact on the outcome of open innovation with foreign partners.

H1b (for potential foreign partners): Knowledge management capability has a positive impact on attitude toward open innovation with Japanese firms.

According to Izawa (2011), Japanese firms need a strategy to adapt to the global market. In the interview, a manager from start-up company E said, "start-up companies have insufficient organizational structure. Therefore, there is a tendency that not to be able to adapt to others," a manager from large firm F said, "even large firms, it is difficult to match the core of management resources each firm. It takes time and cost to do it," a manager from foreign firm G said, "the low adaptive capacity of Japanese firms is due to their strong self-sufficiency." From here, we constructed H2a and H2b.

H2a: Adaptive capacity has a positive impact on the outcome of open innovation with foreign partners.

H2b: Adaptive capacity has a positive impact on attitude toward open innovation with Japanese firms.

Open innovation is a kind of risk management. The Innovator's Dilemma is to avoid the

entry and cooperation of other firms that could threaten the business of the firm in the future (Christensen, 1997). Hibara (2018) stated that Japanese firms tend to concentrate on improving their business rather than bringing about new business in cooperation with others because of The Innovator's Dilemma. Also, avoiding the risk of information leak affects the degree of openness on open innovation. According to Iwakado et al. (2016), Japanese firms are implementing open innovation with low openness of insider information. Japanese firm tend to have self-sufficiency and heresy elimination. In the interview, a manager from firm H said, "the valance of the openness of insider information is important," a manager from large firm I said, "we are careful with the contract with foreign firms. We think that collaborate with foreign firms is risky", a manager from firm J said, "in the case of cooperation with foreign firms, we are very afraid of theft of technology and information leakage", and a manager from foreign firm K said, "Japanese firms should lower cooperation hurdles. We would like more disclosure of insider information". From here, we constructed H3a and H3b.

- H3a: Openness of insider information has a positive impact on the outcome of open innovation with foreign firms.
- H3b: Openness of insider information has a positive impact on attitude toward open innovation with Japanese firms.

According to Takeyama (2011), open innovation is expected to create innovative ideas. Kimura (2015) stated that start-up companies are expected to play a role in bringing new innovations together with ideas from different fields. In the interview, a manager from foreign firm L said, "Japanese firms have high technical capabilities and are reliable, but I feel that creation of innovative idea is scarce compared to other countries". From here, we constructed H4a and H4b.

H4a: Creation of newer idea orientation has a positive impact on the outcome of open innovation with foreign partners.

H4b: Creation of newer idea orientation has a positive impact on attitude toward open innovation with Japanese firms.

Our interview survey shows that the majority of Japanese firms, particularly the startup companies, were seeking active intervention by intermediaries. Open innovation does not go well if other firms have problems even if the firms improves organization. Also, there are problems related to legal regulations such as intellectual property and shifts in understanding of the international market in borderless cooperation. Therefore, the presence of intermediaries is regarded as important. On the other hand, a manager from intermediary firm M said, "our firm has insufficient lack of ability to mediate open innovation and funds power. We would like to grow our firm from now on", a manager from intermediary firm N said, "our firm is only supporting to match up firms and firms. We have not been able to mediate afterward." From here, we constructed H5a and H5b.

H5a: Intermediary aggressive intervention has a positive impact on the outcome of open

innovation with foreign partners.

H5b: Intermediary aggressive intervention has a positive impact on attitude toward open innovation with Japanese firms.

Based on the hypotheses above, quantitative surveys will be conducted on both Japanese firms and foreign partners and verified.

4. HYPOTHESES TESTING

In this section, we conducted a questionnaire survey to Japanese and foreign firms. This was done for the purpose of obtaining quantitative data for hypotheses verification.

4-1. Procedure and Sampling

We distributed questionnaires at the events of open innovation, sent e-mails, and used SNS (Facebook, Twitter, WeChat) from October 9, 2018 to November 2, 2018 to the questionnaire subject using the online web questionnaire tool (Google Form). Samples were targeted at the employees of Japanese and foreign firms who are engaged in innovation and R&D. We do not narrow down the industry, the firm size and the country.

	Japanese Firms	Foreign Firms			
Period	2018/10/9~11/02	2018/10/09~11/02			
Unit of analysis	Business unit	Individual			
Sample size	122	126			
Detail of samples	Experiences of open innovation with foreign firms	●Experiences of open innovation with Japanese firms			
	• Yes: 63.9 % 【N=77】 • No: 36.1% 【N=44】	• Yes: 54 % 【N=68】 • No: 46% 【N=58】			
	 Firm age ~4 [20.5%] 5~14 [52.5%] 14~29 [10.7%] 30~ [6.4%] Number of employees 	 Firm age ~4 [22.2%] 5~14 [53.2%] 14~29 [21.4%] 30~ [3.2%] Number of employees 			
	• ~9 [13.2%] • 10~99 [58.7%] • 100~299 [11.6%] • 300~ [16.5%]	 ~9 [23.0%] 10~99 [50.0%] 100~299 [11.9%] 300~ [15.1%] 			
	 Industry Manufacturing [27.6%] Service [25.0%] Information and communication [47.3%] 	 Industry Manufacturing [20.0%] Service [28.0%] Information and communication [52.0%] 			
		 Country Asia [77.6%] Europe [15.7%] North America [5.7%] 			

Figure 8: Detail of samples

Source: Authors

Our questionnaire paper based on our 5 hypotheses that depend on the semi-structured interview and literatures. We set total of 20 items. First, we provided 2 choice answers of Yes or No for Japanese firms and foreign firms that whether they have experience of open innovation with Japanese firms or foreign firms. In the case of Yes, answered the following questions based on the experience of open innovation, and in the case of No, answered the following questions based on assuming that they will be implement open innovation. The answer items are set to 5 grades from 1 (strongly disagree) to 5 (strongly agree). The remaining five questions are about firm samples.

4-2. Data analysis and result

We set total of 20 question items that based on hypothesis. Each question item was divided into five variables by factor analysis. Reliability tests were conducted to verify the reliability among question items, cronbach's alpha was an appropriate value. And reliability was confirmed. Then, it was confirmed that each variable is independent from the value of VIF (Range for 1~6 variable).

In this research, we examine using multiple linear regression. Multiple linear regression is method the dependent variable using a plurality of independent variables.

We quantitatively analyze a causal relationship that the five independent variables influence dependent variables for Japanese firms and foreign firms.

First, it is analysis of Japanese firms. R-Squares which five explanatory variables give to a dependent variable was 0.46. When standardization estimates were seen, we have shown that the factor of knowledge management capability recorded 0.2, the factor of Adaptive capacity recorded 0.49, the factor of Openness of insider information recorded 0.17, the factor of Creation of newer idea orientation recorded 0.007 and the factor of Intermediary aggressive intervention recorded 0.009. About the P-Value indicating the significance probability, Knowledge management capability recorded 0.001, Adaptive capacity recorded 0.00, Openness of insider information recorded 0.003, Creation of newer idea orientation recorded 0.032 and Intermediary aggressive intervention recorded 0.23 (***P<0.01, **P<0.05).

		Unstandardized coefficients		Standardized coefficients		Collinearity Statistics	
Mod	lel	В	Std. Error	Beta	Sig.	Tolerance	VIF
1	(Constant)	.600	.305		.004		
	Knowledge management capability	.150	.059	.203	.001	.689	1.451
	Adaptive capacity	.474	.073	.485	.000	.806	1.242
	Openness of insider information	.130	.058	.165	.003	.829	1.206
	Creating of innovative idea	.060	.061	.069	.032	.895	1.117
	Intermediary aggressive intervention	.057	.047	.086	.230	.898	1,114

Figure 9: Result of hypothetical model for Japanese firms



Source: Authors

Next, it is analysis of partner Foreign firms. We set total of 20 question items that based on hypothesis. Each question item was divided into five variables by factor analysis. Reliability tests were conducted to verify the reliability among question items, cronbach's alpha was an appropriate value. And reliability was confirmed. Then, it was confirmed that each variable is independent from the value of VIF (Range for 1~6 variable). R-Squares which five explanatory variables give to a dependent variable was. When standardization estimates were seen, we have shown that the factor of Knowledge management capability recorded 0.2, the factor of Adaptive capacity recorded 0.15, the factor of Openness of insider information recorded 0.42, the factor of Creation of newer idea orientation recorded 0.22 and the factor of Intermediary aggressive intervention recorded 0.07. About the P-Value indicating the significance probability, Knowledge management capability recorded 0.008, Adaptive capacity recorded 0.03, Openness of insider information recorded 0.001, Creation of newer idea orientation recorded 0.004 and Intermediary aggressive intervention recorded 0.4. (***P<0.01, **P<0.05)

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$H_1O_1P \cap IO$.	Result of	hypothetica	model for	toreign	nartners
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		Unstand coeffic	Unstandardized coefficients			Collinearity Statistics	
Model		В	Std. Error	Beta	Sig.	Tolerance	VIF
1	(Constant)	1.590	.279		.000		
	Knowledge management capability	.076	.028	.198	.008	.950	1.053
	Adaptive capacity	.038	.018	.153	.031	.983	1.017
	Openness of insider information	.328	.018	.426	.004	.949	1.053
	Creating of innovative idea	.188	.064	.219	.000	.940	1.064
	Intermediary aggressive intervention	.013	.014	.069	.354	.960	1.042



Source: Authors

5. DISCUSSION AND IMPLICATION

5-1. Discussion

In this section, we discuss based on analysis results. First, we discuss analysis result of Japanese firms. Knowledge management capability (H1a) influences Japanese firms to promote open innovation with foreign partners in the previous study. The relationship between knowledge management capability and open innovation is a proven theory only for France multinational firms (Jasimuddin and Naqshbandi, 2017). Therefore, it was necessary to verify when Japanese firms implement open innovation with foreign partners. As a result, knowledge management capability was proved to be an important factor on open innovation not only for French multinational firms, but between Japanese and foreign firms.

Adaptive capacity (H2a) proved to be related that Japanese firms to promote open innovation with foreign partners. It has already been studied as a factor that exists between innovation and global scale collaboration, but there was no research aimed at Japanese and foreign firms. We found that adaptive capacity has a strong correlation in the model of Japanese firms.

Openness of insider information (H3a) and creation of newer idea orientation (H4a) were supported. We found that these factors have positive impact on the outcome of open innovation with foreign partners. These hypotheses were constructed based on our interview survey. Therefore, we add new factors that promote open innovation of Japanese firms with foreign partners.

Intermediary aggressive intervention (H5a) was not supported. In our interview survey, many Japanese firms said that intermediary are necessary for open innovation collaborate with foreign firms. However, as a result, intermediary aggressive intervention has no positive impact on the outcome of open innovation with foreign partners.

Next, we discuss analysis result of foreign partners. We found that knowledge management capability (H1b) influences foreign partners to attitude toward open innovation with Japanese firm. Foreign firms have clear goals for open innovation, and they seek partners that have established an organization for knowledge management capability.

Adaptive capacity (H2b) proved to be related that attitude toward open innovation with Japanese firm. In our interview survey, foreign firms evaluated that Japanese firm have low adaptive capacity due to their strong self-sufficiency. Japanese firms need a strategy to adapt to management resources such as technologies and ideas, business usage and values of firms the global market.

Openness of insider information (H3b) was particularly strong correlated with model of foreign firms. Japanese firms in general tend to take risk-avoidance behavior. Foreign partners need more insider information disclosure from Japanese firms.

Creation of newer idea orientation (H4b) has a positive impact on attitude toward open innovation with Japanese firms. Japanese firms are required from foreign partners to create innovative ideas as well as technical capabilities. Intermediary aggressive intervention (H5b) was not supported the same as H5a. It has no positive impact on attitude toward open innovation with Japanese firms.

What can we say from those results? Comparing four supported hypotheses with the results of Japanese and foreign firms, the Japanese firms result showed a strong correlation between the factors (knowledge management capability and adaptive capacity) mentioned in the previous studies and the outcome of open innovation with foreign partners. However, foreign firms result showed a strong correlation between the factors (openness of insider information and creation of newer idea orientation) clarified from our interviews survey and the attitude toward open innovation with Japanese firms. We found this gap of result because we focus on both side of focal Japanese firms and foreign partners. It is assumed that the factors that we clarified that are based on the facts that actual business transaction between Japanese firms and foreign partners.

H5a and H5b were not supported. In our interview survey, both Japanese firms and foreign firms stated that existence of intermediary firm is important on implementing open innovation with foreign firms. However, as a verification result, they do not need intermediary firms. There are two things that can be considered. First, we consider that the firms are seeking for intermediary is only at the early stage of matching between firms and firms. In our interview survey, it was said that firms are seeking opportunities and ways to discover open innovation partners. Therefore, we consider that firms do not need intermediary to support in the process of open innovation after matching. Second, we consider that the current arguments of intermediary firms are not yet able to fulfill the role that firms desire. Intermediary firms are still underdeveloped on open innovation with foreign partners. We interviewed three intermediary firms, all of them felt their own lack of ability. Therefore, we consider that what firms are seeking is not in agreement with what the intermediary firms offer. In addition, as a result of the questionnaire, we found that about 65% of the responding Japanese firms have implemented open innovation with foreign partners. This fact is a new finding that we could not be found in the secondary data base analysis.

5-2. Theoretical and Practical Implications

In the academic field, we have studied global open innovation focus on both side of focal and partners. Two factors (H1and 2) clarified from the previous studies were supported as they were. Moreover, two new factors (H3 and 4) clarified from the interview survey were also supported. Therefore, we propose these new influential factors. In future study, we need to take a close look on openness insider information and creation of newer idea orientation. In this research, we conducted an interview survey and a questionnaire survey based on the opinions of the actual sites on both sides and demonstrated the relationship of open innovation between Japanese firms and foreign firms. It can be said that this is a practical significance contributing to Japanese firms that are willing to engage in open innovation with foreign firms. Japanese firms are motivated to open innovation with foreign firms, but they have not been implemented from various problems. However, there has been no survey that examines and compares the real information of both sides as to what the problem is like. Therefore, it has significance to make proposals to clarify the information the firm really wanted to know and promote open innovation with foreign firms.

What should Japanese firms do to implement open innovation successful with foreign partners? All four factors are issues of organization. Therefore, Japanese firms need to improve the own organization, understand their management resources correctly. Also, do not be afraid of risk to collaborate with foreign partners, and need to maintain open mindedness toward foreign partners. Then, Japanese firms need to have a leader who can implement those factors.

6. CONCLUSION

Open innovation is a new management strategy and open innovation is necessary for

firms to maintain the competitive advantage. We do research on both perspective of Japanese firms and foreign partners, with the problem that Japanese firms have insufficient implementing open innovation with foreign partners. We constructed hypotheses and clarified four factors necessary for Japanese firms by quantitative analysis. This includes the evaluation of Japanese firms as seen from foreign firms. Therefore, it is practical proposal for Japanese firms. In Japan, there are firms that still cannot be implemented even among domestic companies. However, implementing open innovation with foreign partners has great merit because of the network effect. It is expected that Japanese firms will need to open innovate with foreign firms in the future. Our research contributes to Japanese firms as a proposal for understanding and solving problems that encountered during collaboration. Japan's capacity for innovation and international competitiveness will improve if Japanese firms are implementing open innovation with foreign partners. As a limitation of this research, the sample population is small. We did not narrow down the industry and the country. However, the collected samples were three industries of information communication, manufacturers, and services. Moreover, only 23 countries were able to recover. Implementation of research with increased sample types and numbers is a future subject. Also, we could not explain the relationship between intermediary firm and global open innovation. There is a possibility that problems with the firm size and industries may differ. Further insight into these aspects are left to future work.

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日)

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Accompanying material 1 : Short interview list (Japanese firms & Foreign firms)

NO.35 (Israel-startup) Service	NO.34 (Canada-startup) Service	NO.33 (Japan startup) Information and communication	NO.32 (Japan startup) Information and communication	No.31 (Japan-startup) Information and communication	No.30 (Japan startup) Information and communication	No.29 (Japan-medium size) Service	No.28 (Japan-startup) Information and communication	No.27 (Japan medium size) Information and communication	No.26 (Japan startup) Information and communication	No.25 (Japan-large size) Information and communication	No.24 (Japan-startup) Service
10/27(2018)	10/27(2018)	10/23(2018)	10/23(2018)	10/23(2018)	10/21(2018)	10/21(2018)	10/21(2018)	10/21(2018)	10/9(2018)	10/9(2018)	10/9(2018)
Rakuten Crimson House	Rakuten Crimson House	TORANOMONHILLS	TORANOMONHILLS	TORANOMONHILLS	cafe shop (Yokohama)	cafe shop (Yokohama)	cafe shop (Yokohama)	cafe shop (Yokohama)	BASEQ (TOKYO MIDTOWN HIBIYA)	BASEQ (TOKYO MIDTOWN HIBIYA)	BASEQ (TOKYO MIDTOWN HIBIYA)
 Feel somewhat arrogant posture High maintainability 	 Language barriers. Standing on innovation is different 	· Possibility of leaking information	 Organizational structure exists. 	· Do not understand the management of the firms	• The purpose of open innovation is different	· Business habits are different	• The need for intermediary intervention	· Insufficient organizational structure	• The cost and speed are not balanced	 The technical ability is very high, but the idea is very old 	 High maintainability Be passive

Service	NO.3	Service	NO.2 (Japan startup)	No.1 (Japan-startup) Service	Long Interview	NO.7 (Japan-startup) Service	Information and communication	No.6 (Japan-large size)	NO.5 (Japan-startup) Service	communication	NO.4 (Japan·large size) Information and	NO.3 (Japan startup) Information and communication	NO.2 (Japan startup) Information and communication	Service	No.1 (Janan-startun)	Long interview (Number
	10/18(2018)		9/11(2018)	7/22(2018)	Intermedia	11/7(2018)		11/3(2018)	10/28(2018)		10/21(2018)	10/13(2018)	9/29(2018)		8/10(2018)	Japanese fi	DATE
(Otemachi)	The office of the other party	(Kamiyacho)	The office of the other party	cafe shop (Tokyo operacity)	ury firms,60~90min	cafe shop (Ochanomizu)	(Nihonbashi)	The office of the other party	cafe shop (Shinjuku)		cafe shop (Yokohama)	The office of the other party	(Shibuya)	(Higashi jujo)	The office of the other party	rms, 60~90min)	Place(Method)
perween nomestic companies.	• There are a lot of mediation		 The agency itself is immature and not adequately supported. 	• The role of intermediary companies is important for smooth cooperation between the two parties.		 Inadequate understanding of international markets. 	companies. • Prevalence of NIH syndrome.	 We have not been able to break away from the subjectivity of old 	 Insufficient language and communication skills. 	 No human resources can lead inside the company. 	 The network with overseas companies has not been built enough. 	• The importance of the role of mediation.	 Differences in business practices and legal regulations. Insufficient approval of the management layer inside the company. 	• Worry about the decision to be able to keep up early.	 Aggressive collaboration and risk of information leaks 		

Accompanying material 2 : Long interview list (Japanese firms & Intermediary firms)

海外企業で日本企業のオーノン・イノスーションに関するアンケート	4、現在は1998年4月、「ノン・エストージョンの通道作用でいた。4、日本は1998年4月、1998年4日、1998年4月	1.1. 単位していたが、シューン・「「日本市場についているいたい」というため、人名かやくいる。 10月代マークしてください。
私たちは日本大学法学語、日井さいナールと語のPOB部と申し来す。今回さいナールにおいて「日米分類 キャール・・・・・・・・・・日本語の名曲を参加するチャックー参数。ドラムにアクニーノ田取る・「アキロ」	1 N G 4 5	1 2 3 4 5
ジオープン・イノスーションで新市防備単金制作するための一発明」にいってジリープ研究をいており、 その研究を描めるにあたり、必要な資源使用語とした、アンゲートを発売したおりをす、「着水分離 とのオープン・イノスーション」に対して、異社のご単純を記録からたたさい、アンケートの通知は、研	\$4(\$554) () () () () () () () () () () () () ()	¢@¢2433 () () () () () () () () () () () () ()
文の参考費員れつてのみ取り扱い、研究以外の外部撮供は行いません。また、特別の個人が確認できる資 第とつて公表されることはありません。 数分過度で回答できる存在となっておりますので、機管行行業 新いただき、存存アンゲートにに成りください。	5. 青社は様々な条件に対して意思決定スピードが速い。。 1 つだけマークしてください。	12. 満社は革新的なアイディアを主むために積極的に外国人社員を雇用している。 1日応日マークしてくればい。
1004	1 2 3 4 5	. 2 3 4 5
	金く思わない 〇 〇 〇 〇 〇 〇 とてもそう影う	全く思わない 〇 〇 〇 〇 〇 ~ とてもそう思う
- 1、道を傍睡れメージン・スノスーツョン物情識で物語要样的な世界なってしたという。 1. 通を得解れたしていたいです。	1. 目上に入場かず「展開国家信徒国をかえる」そも、モン・ヘールード・日本日本信約を合われ、	
	6、開始は特別教育(静義国を強烈動も知られ)やドーレン・エンスーツロンを取りを支持である。 「したけドークンでへたおい、	13. 慣知には、民族影響等(第つい学業も影響しよらいする影響を感覚)を知った人材があっ。
RIN ()	1 2 4 5	「したけマークしてくたまし、
開閉271度と行く込むと~	6862427 OOOOO	1 2 3 4 5
以下50個の問題は、海外企業へのオーブン・イノスーションに関する問題です。海外企業イオーブン・イノスーションを実施った過いた。	聞きた思わせんだなど、	で館でそうまっ (10%)の(10%) (10%)の(10%) (10\%) (1
る場合は、「その経験をもとに」お答えください。実施した経験がない場合は、「海外企業がオーブン・イノベーションのバートナーであて、テレッションのパートナーであて、テレッション・	7. 原出は場外企業に原用されるような実施がある。 「つだけマークしてください。	14. 間比4位で直接数や回転主機のにたわりから数が出そっとしている。 「したかべークロドへだがっ、
らってを設定)し、3 技巧評価でのなたの意見をの合え Feire	1 2 3 4 5	1 2 3 4 5
2:17(10元10日)	6倍6元912 〇 〇 〇 〇 〇 〇 〇 1480(個2年	全く思われい 〇 〇 〇 〇 〇 とてもそう思う
4、50000 3、2、1000人は1/2001 2、20年のそうほうない 1、111人の通うない	8、満社は技術ノウハウなどの標準をある保護オープンにしている。・ 1 つビイマークル さく ぎまい	15、 満社の意思スタンスを海水位展に確実してものり変活を示している。。
※このアソケーで好たのオープン・イノヘーションパは、は属さ合着の装装電纜(AthoraskatoBtoosta 茶を出か合われったいりまつったのを主が出す)を治っ、M&Aなどは除さます。	1 2 3 4 5	-1 N2 60 -2 -01
	c@c2a22 ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○	€(眼の4) ○ ○ ○ ○ 2でもそろ思う
2 2・メーレン・イノスーツョンの袋、採払に包装装飾がた参も得どに採出したコーター(最事素)がころ。。 ころ。。	9、数社は単常写は保いた状態で、単本合成とのメーノン・イノスーション庁等等地にアノロードつている。 としたシャークしてへんがいっ、	16、原社のアイディアや液液の資源を痛を含葉に読めてもらり姿勢を示している。 というサインしてくだかい。
1 2 3 4 5	1 2 3 4 5	1 2 3 4 5
●<====================================	¢@¢5432 ○ ○ ○ ○ ○ \\\\\\\\\\\\\\\\\\\\\\\\\\\	4(第65日23 〇 〇 〇 〇 〇 〇 〇 〇 0 (14)(14)(14)(14)(14)(14)(14)(14)(14)(14)
3 3、オープン・イノスーションの際、営士に営業できる議会部員なコーダー(商事業)がなる。。 1 20日1マークしてください。	10. 満社は自社の諸報道快速補適用に関しての保守性のバードルを下げる会渉を示している。 10元以マークしてください。	17. 電気的酸イメージン・メンスーツョン活動の時代、電気的存在剤の存在が増め、 としたセルークロドへだから、
1 2 3 4 5	1 2 3 4 5	л N Q A 05
6@65337 O O O O O V1008>\$	★<問約\$W ○ ○ ○ ○ ○ とてもそう得う	CECEBICS 0 0 0 0 0 1150082

Accompanying material 3 : Questionnaire survey (Japanese firms& foreign forms)

5 : Strongly agree 4 : Agree 3 : Nether agree nor disagree 2 : Okagree 1 : Strongly disagree 1 : Strongly disagree 2 1 cooperation with a Japanese partner, do you want a prominent leader of who ca knowledge of the their company? 1 2 3 4 5 Strongly disagree 1 2 3 A nooperation with a Japanese partner, do you want a reliable leader of who exten	24 25 26 27 28 28 28 28 28 28 28 28 28 28 20 27 20 10 27 27 27 27 27 27 27 27 27 27 27 27 27	 1 2 3 4 5 全く思わない 1 2 3 4 5 ごてのご問読あらがとうございました。 ざにかれたのを集についてお助けせください。 ことな単年乾をおれくください。 この年末期 ら年以上10年末期 30年以上
If you answered "Yes", please answer about the existing companies. Answered "No", please answer Japanese co as potential open-innovation partners.	★ 11/2 11/2 11/2 11/2 11/2 11/2 11/2 11/	1 2 3 4 5 全く思わない 0 0 0 とてもそう思 21. 青社は基本企業とのオープン・イノベーションの原件途中回線
1 1.Have you experienced cooperation with Japanese companies? *	思う	1 2 3 4 5 全く思わない 〇 〇 〇 とてもそうほ 20. 請出は設合物はと比べると海外企業とのオープンイノベーショ いる。・ 1つ窓けマークしてください。
Questionnaire on open innovation with Japanesi companies We are know linvestly college of Law, Usu Seminar 11th term, Isam FOB. We are subjiring about "A study of Japanese companies to crooperation with foreign companies" We collect responses from the questionnare for research. Please tellu sy our optimicin on "Corporate cooperation with Japanese companies". This arease will not be used for purposes other than research purposes. Also, it wind the amounted as information that a particular individual Since it is contents that can be answered in about several innotes, please understand the purpose and please kindly cooperate with the questionnaire		している。 している。 している。 している。 している。 している。 している。 している。 している。 している。 している。 している。 している。 している。 してい

4. Do you think that it is important for Japanese partner to indicate their cooperation objectives to you?	11. Do you think that it is attractive that if Japanese partner has many innovative ideas? "	18. Do you want to utilize a matching platform website which worldwide reliable ? "
	1 2 3 4 5	1 2 3 4 5
	Strangy deagtee	00000
 Do you think it is important for Japanese partner have a stance to speed decision making will lead to easier cooperation? 	12. Do you think it is attractive that a Japanese partner is actively hiring foreign employees?	19 19. Are you interested in corporate open-innovation with Japanese companies? *
	1 2 3 4 5	1 2 3 4 5
1 2 3 4 5	00000	Strongly disagree 🕜 🕜 🕜 🕜 Strongly agree
00000		
6 Do you think Japanese partner will be positive about cooperation throughout the entire organization, including other departments and executives, will lead to a good impression?	13. Do you think that it is attractive that a Japanese partner hase many talented people with entrepreneurial spirits?	20. 20. Do you have a willingness to pursue open innovation with Japanese companies? *
	1 2 3 4 5	1 2 3 4 5
)	00000	00000
7. Do you think Japanese partner are trusting your company to be important for smooth	14. Do you think that it is important for Japanese partner to get out of the old values and the commitment of self righteousness?	21 21. Do you have a specific vision for open innovation with Japanese companies? *
1 2 3 4 5		
Strongly deagree 🙁 💟 💭 💭 Strongly agree	15. Do you think that showing a stance that Japanese partner will admit your company's	Thank you for your answers so far. Finally please tell us about your company.
8. Do you think Japanese partner should make information more open? *	strategy will lead to smooth cooperation?	22 22. Please answer company Age *
1 2 3 4 5	1 2 3 4 5	
	Strongly delagree 💿 💿 💿 💿 Strongly agree	 Less than 5 years Syears or more and less than 15years
9. Do you think that it is important for Japanese partner to improve their passive attitudes and actively approach to your company? $^{\circ}$	16. Do you think that it is important for Japanese partner to be understandable the value of their own ideas and technologies?	 Solvers and alcove 30 pears and alcove 30 fit is good please answer the industry.
- 1 12 12 12 12 12 12 12 12 12 12 12 12 1	1 2 3 4 5	23 23. Please answer the number of employees "
00000	00000	Less than 10 person Less than 10 person That you's provide your assure: Your indices was helpful to us To person or more and less than 100 person Pesee submit[pastbdf]tothon] the form and cover the site
10. Do you think that Japanese partner reduce risk avoidance behavior of information leakage and technology theft will lead to smooth cooperation? *	17. Do you think that smooth cooperation is easy to do when there is active intermediary company intervention? *	 100 person or more and less than 300 person 300 person and above If you don't mind, we would like to report the results, so could you please tell me your +-mail address?
) 2 3 4 5		24. Please answer the country of the company base."
	antita détaure	

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Accompanying material 4 : Statistics results of Japanese firms

		Model Summary		
Model	R	R Square	Adjusted R Square	Std. Error of the Estimate
1	.697ª	.485	.463	.465

a. Predictors: (Constant), Knowledge management capability, Adaptive capacity, Extreme maintainability improvement, Creating of innovative idea, Intermediary aggressive intervention

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ANU	VA [*]

Mod	lel	Sum of Squares	df	Mean Square	\mathbf{F}	Sig.
1	Regression	23.607	5	4.721	21.870	.000 b
	Residual	25.042	116	.216		
	Total	48.649	121			

a. Dependent Variable: Outcome of open innovation with foreign companies

b. Predictors: (Constant), Knowledge management capability, Adaptive capacity,

Extreme maintainability improvement, Creating of innovative idea, Intermediary aggressive intervention

Coefficients

		Unstano coeffi	dardized cients	Standardized coefficients			Collin Stati	earity stics
M	odel	В	Std. Error	Beta	t	Sig.	Tolerance	VIF
1	Constant	.600	.305		1.967	.004	004	1.205
	Knowledge management capability	.150	.059	.203	2.525	.001	060	0.180
	Adaptive capacity	.474	.073	.485	6.533	.000	.032	0.267
	Openness of insider information	.130	.058	.165	2.249	.003	.015	0.44
	Creation of newer idea orientation	.060	.061	.069	2.015	.032	.331	0.618
	Intermediary aggressive intervention	.057	.047	.086	0.985	.230	036	0.149

Source: Based on an analysis result of SPSS

Accompanying material 5: Statistics results of foreign firms

		Model Summary		
			Adjusted R	Std. Error of
Model	R	R Square	Square	the Estimate
1	.613ª	.375	.349	.564

a. Predictors: (Constant), Knowledge management capability, Adaptive capacity, Extreme maintainability improvement, Creating of innovative idea, Intermediary aggressive intervention

			ANOVAª			
		Sum of		Mean Square		
Model		Squares	df	_	\mathbf{F}	Sig.
1	Regression	22.967	5	4.593	14.428	.000 b
	Residual	38.204	120	.318		
	Total	61.171	125			

a. Dependent Variable: Attitudes toward of open innovation with Japanese companies

b. Predictors: (Constant), Knowledge management capability, Adaptive capacity,

Extreme maintainability improvement, Creating of innovative idea, Intermediary aggressive intervention

Coefficients

		Unstan coeffi	dardized cients	Standardized coefficients			Collin Stati	earity stics
N	odel	В	Std. Error	Beta	t	Sig.	Tolerance	VIF
1	Constant	1.590	.279		5.707	.000	1.038	2.142
	Knowledge management capability	.076	.028	.198	2.681	.008	0.62	0.315
	Adaptive capacity	.038	.018	.153	2.110	.031	.002	0.074
	Openness of insider information	.328	.018	.426	5.755	.000	.215	0.441
	Creation of newer idea orientation	.188	.064	.219	2.943	.004	.062	0.315
	Intermediary aggressive intervention	.013	.014	.069	0.930	.354	015	0.040

Source: Based on an analysis result of SPSS